

Form PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 5453.00009		SERIAL NO. 09/970,060	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT: Leward Nile Smith			
		FILING DATE October 3, 2001		GROUP	

TECHNOLOGY CENTER R3700


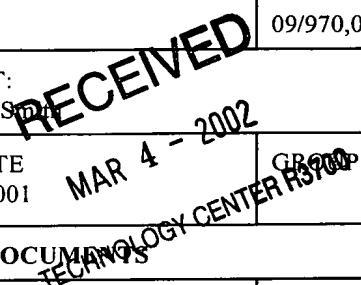
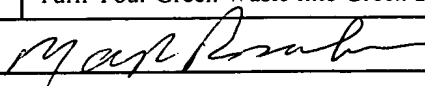
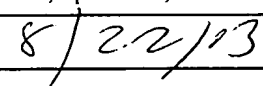
U.S. PATENT DOCUMENTS												
Examiner Initial	Document No.							Date	Name	Class	Sub-Class	Filing Date
WZ		1	9	0	6	7	5	05/15/1877	Gaines			
		5	8	9	2	3	6	08/31/1897	Williams			
		6	0	4	2	8	3	05/17/1898	Albrecht			
		1	2	6	6	8	9	05/21/18	Williams			
		1	7	1	3	5	0	05/21/29	Ammon			
		1	7	5	2	2	9	04/01/30	Ammon			
		1	8	8	9	1	2	11/29/32	Nielsen			
		2	0	2	6	7	9	01/07/36	Mankoff	83/11		
		2	1	2	8	1	9	08/23/38	Sheldon et al.	83/11		
		2	2	4	4	5	7	06/03/41	Schreiber	83/11		
	2	3	1	8	2	1	05/04/43	Harris	83/11			

FOREIGN PATENT DOCUMENTS													
	Document No.							Date	Country	Class	Sub-Class	Translation	
	Yes	No											

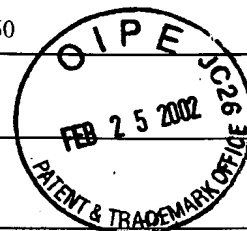
OTHER DOCUMENTS (Incl. Author, Title, Date, Pertinent Pages, etc.)	
WZ	Wood Waste Disposal Problems: Bandit Has Some Answers!, Bandit Industries, Inc. Hard Hat News, Oct. 22, 1993
	The Beast, Model 30 Grinding Yard Waste, Model 15, Grinding Housing Demolition, Waste Handling Equipment News, September 1994
X	Bandit's Beast Maintains Nature's Beauty, Construction Equipment Guide, June 1, 1994
	Bandit Industries, Inc., Reader Card 218, Forest Publications, Timber West, November 1993

Examiner	<i>Mark Smith</i>	Date Considered	8/21/53
----------	-------------------	-----------------	---------

Examiner: Initial if references considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE				ATTY DOCKET NO. 5453.00009		SERIAL NO. 09/970,060						
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				APPLICANT: Leward Nile Smith								
				FILING DATE October 3, 2001								
U.S. PATENT DOCUMENTS												
Examiner Initial	Document No.							Date	Name	Class	Sub- Class	Filing Date
AL	2	3	9	2	9	5	8	01/15/46	Tice	241/55		
	2	6	6	3	5	0	5	12/22/53	Sennholtz	241/197		
	2	7	1	0	6	3	5	06/14/55	Alexander	144/162		
	2	8	6	3	4	7	6	12/09/58	Clark	144/172		
	2	8	6	4	4	2	0	12/16/58	Schmidt	146/106		
	3	2	5	4	6	8	7	06/07/66	Tertyshnikov	146/79		
	3	3	6	7	5	8	5	02/06/68	Ratkowski	241/197		
	3	4	3	6	0	2	8	04/01/69	Koehnen et al.	241/186		
	3	5	0	9	9	2	4	05/05/70	Newhouse, Jr.	146/70.1		
	3	6	4	2	2	1	4	02/15/72	Blackwell, Jr.	241/191		
	3	8	4	4	4	9	4	10/29/74	Hightower	241/197		
FOREIGN PATENT DOCUMENTS												
	Document No.							Date	Country	Class	Sub- Class	Translation Yes No
OTHER DOCUMENTS (Incl. Author, Title, Date, Pertinent Pages, etc.)												
AL	Bandit Industries' Model 15-H Beast Recycler, Forest Products Equipment, August 1994											
	The Model 15 Beast, Bandit Industries, Inc., MSW Management, March/April 1994											
	Want to Lower the Cost of Breaking Down Yard and Other Landfill Waste? . . . Try the Beast from Bandit, Resource Recycling, November 1994											
	Turn Your Green Waste Into Green Dollars, Bandit Industries, Inc., Sportsturf, 1994											
Examiner								Date Considered				
												
Examiner: Initial if references considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.												

Form PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY DOCKET NO. 5453.00009	SERIAL NO. 09/970,060
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	APPLICANT: Leward Nile Smith	
	FILING DATE October 3, 2001	GROUP



U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Filing Date
<i>MC</i>	3 9 0 7 0 1 6	09/23/75	Nicholson et al.	144/172	
	4 0 7 4 5 9 4	02/21/78	Dall et al.	76/10	
	4 0 7 7 4 5 0	03/07/78	Ackerman	144/172	
	4 1 1 7 9 8 5	10/03/78	Lazareck	241/197	
	4 1 2 9 2 6 0	12/12/78	Baker	241/46	
	4 1 6 2 7 6 9	07/31/79	Lapointe	241/68	
	4 1 6 8 0 3 5	09/18/79	Palm et al.	241/81	
	4 7 0 2 4 2 4	10/27/87	Widlak	241/101.7	
	4 7 1 7 0 8 3	01/05/88	Quast et al.	241/197	
	4 8 5 0 4 0 6	07/25/89	Krautzberger	144/230	
	4 8 7 2 5 0 0	10/10/89	Duffey et al.	164/34	


FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub-Class	Translation	
						Yes	No

OTHER DOCUMENTS (Incl. Author, Title, Date, Pertinent Pages, etc.)

<i>MC</i>	"Product Release" for the new Model 15-H Beast Recycler Offered by Bandit Industries, Waste Handling Equipment New, June 1994
	For Your Chipping and Grinding Needs, Bandit Industries, Inc., Forest Products Equipment, August 1994
<i>MC</i>	Megagrind by Rexworks 800, 1995
	How to chop yard waste costs!, 1000 Commercial Grinder, Farmhand
Examiner <i>Mark Brown</i>	Date Considered <i>8/22/05</i>

Examiner: Initial if references considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.


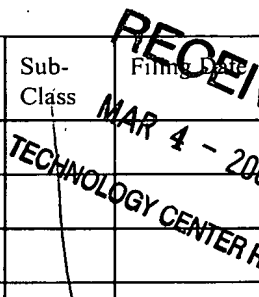
Form PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE				ATTY DOCKET NO. 5453.00009		SERIAL NO. 09/970,060			
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				APPLICANT: Leward Nile Smith					
				FILING DATE October 3, 2001		GROUP			

U.S. PATENT DOCUMENTS									
Examiner Initial	Document No.	Date	Name	Class	Sub-Class	Filing Date			
P2	4 9 1 5 3 1 0	04/10/90	Stelk	241	197				
	4 9 1 7 3 1 4	04/17/90	Manschwet	241	194				
	4 9 2 2 9 7 7	05/08/90	Colton et al.	144	230				
	4 9 6 7 9 6 9	11/06/90	Griffith, III	241	93				
	4 9 8 2 9 0 4	01/08/91	Greiner	241	73				
	5 0 4 2 7 2 7	08/27/91	Plante	241	101.7				
	5 0 4 4 5 6 7	09/03/91	Hte, et al.	241	73				
	5 1 1 4 0 8 5	05/19/92	Inui	241	195				
	5 2 0 5 4 9 6	04/27/93	O'Donnell et al.	241	34				
	5 2 0 9 2 7 8	05/11/93	Carpenter et al.	144	230				
U	5 4 1 3 2 8 6	05/09/95	Bateman	241	90				

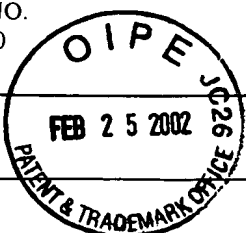
FOREIGN PATENT DOCUMENTS									
Document No.	Date	Country	Class	Sub-Class	Translation				
					Yes	No			

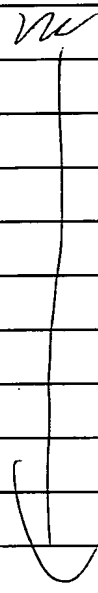
OTHER DOCUMENTS (Incl. Author, Title, Date, Pertinent Pages, etc.)	
P2	The Beast, Model 15-H, Bandit Industries, Inc. ✓
J	The Beast Recyclers from Bandit Industries . . . with Big Appetites for Waste, Bandit Industries ✓
J	The Beast - Coming in the Summer of 1993 from Bandit Industries, Inc., Bandit Industries, Inc. ✓
J	Maxigrind by Rexworks, The Most Versatile Materials Processing Machine ✓
Examiner	Date Considered 8/21/03

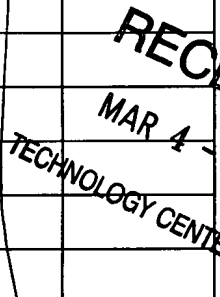
Examiner: Initial if references considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE				ATTY DOCKET NO. 5453.00009		SERIAL NO. 09/970,060			
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				APPLICANT: Leward Nile Smith					
				FILING DATE October 3, 2001		GROUP			
U.S. PATENT DOCUMENTS									
Examiner Initial	Document No.	Date	Name	Class	Sub- Class	Filing Date			
M	4 0 0 0 8 5 9	01/04/1977	Whitney						
	4 0 6 0 9 6 1	12/06/1997	Anderson et al.						
	4 1 2 9 2 6 2	12/12/1978	Lowry						
	4 1 4 6 1 8 5	03/27/1979	Schober						
	4 1 4 6 1 8 4	03/27/1979	Whitney						
	4 5 0 4 0 1 9	03/12/1985	Newell et al.						
	4 5 5 8 8 2 6	12/17/1985	Martinek						
	4 5 7 3 6 4 3	03/04/1986	Orphall et al.						
	5 0 0 2 2 3 3	03/26/1991	Williams						
	5 0 7 8 3 2 8	01/07/1992	Willingham						
	5 2 8 5 9 7 4	02/15/1994	Cesarini						
FOREIGN PATENT DOCUMENTS									
	Document No.	Date	Country	Class	Sub- Class	Translation			
						Yes	No		
OTHER DOCUMENTS (Incl. Author, Title, Date, Pertinent Pages, etc.)									
M	Industrial Grinder, Big Bite, Manufactured by Haybuster								
Examiner	Mark Rount			Date Considered					
8/22/02									
Examiner: Initial if references considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.									

Form PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE				ATTY DOCKET NO. 5453.00009				SERIAL NO. 09/970,060			
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				APPLICANT: Leward Nile Smith							
				FILING DATE October 3, 2001				GROUP			

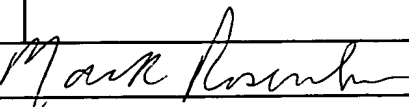
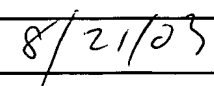


U.S. PATENT DOCUMENTS											
Examiner Initial	Document No.	Date	Name	Class	Sub-Class	Filing Date					
	5 3 7 2 3 1 6	12/13/1994	Bateman								
	5 3 7 7 9 1 9	01/03/1995	Rogers et al.								
	5 3 9 2 9 9 9	02/28/1995	Konig et al.								
	5 4 7 4 2 3 9	12/12/1995	Williams, Jr. et al.								
	5 5 0 7 4 4 1	04/16/1996	De Boef et al.								
	5 5 2 6 9 8 8	06/18/1996	Rine								
	5 5 2 9 2 4 9	06/25/1996	Braun et al.								
	5 6 1 1 4 9 6	03/18/1997	Fleenor								
	5 7 1 3 5 2 5	02/03/1998	Morey								
	7 8 7 2 9 0	04/11/1905	Griffin								
1 7 6 1 0 8 3	05/11/1925	Liggett									


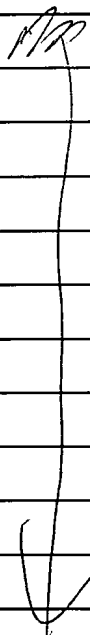
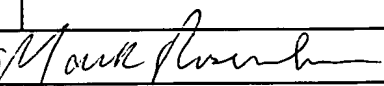


FOREIGN PATENT DOCUMENTS											
	Document No.	Date	Country	Class	Sub-Class	Translation					
						Yes	No				

OTHER DOCUMENTS (Incl. Author, Title, Date, Pertinent Pages, etc.)	

Examiner 	Date Considered 
--	--

Examiner: Initial if references considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE				ATTY DOCKET NO. 5453.00009		SERIAL NO. 09/970,060							
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				APPLICANT: Leward Nile Smith									
				FILING DATE October 3, 2001		GROUP							
U.S. PATENT DOCUMENTS													
Examiner Initial	Document No.							Date	Name	Class	Sub- Class	Filing Date	
	3	1	9	4	5	4	3	07/13/1965	McIlvaine				
	1	5	5	9	9	2	4	11/03/1925	Willcox				
	2	7	0	5	5	9	6	04/05/1955	Poyser				
	4	0	7	6	1	7	7	02/28/1978	Hirayama et al.				
	4	0	7	7	5	7	3	03/07/1978	Kersey et al.				
	4	1	6	2	7	7	0	07/31/1979	Lewis				
	4	3	4	4	5	8	1	08/17/1982	Redemann				
	4	6	8	8	7	3	1	08/25/1987	Hunt et al.				
	4	8	4	8	6	8	1	07/18/1989	Eriksson et al.				
	5	7	4	3	3	1	4	04/28/1998	Puch				
5	8	6	3	0	0	3	01/26/1999	Smith					
FOREIGN PATENT DOCUMENTS													
	Document No.							Date	Country	Class	Sub- Class	Translation	
												Yes	No
OTHER DOCUMENTS (Incl. Author, Title, Date, Pertinent Pages, etc.)													
Examiner								Date Considered					
8/22/03													
Examiner: Initial if references considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.													

RECEIVED

MAR 4 - 2002

TECHNOLOGY CENTER R3700

Form PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY DOCKET NO. 5453.00009	SERIAL NO. 09/970,060
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	APPLICANT: Leward Nile Smith	
	FILING DATE October 3, 2001	GROUP



U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub- Class	Filing Date
<i>MS</i>	6 0 4 7 9 1 2	04/11/2000	Smith			
<i>MS</i>	6 0 5 9 2 1 0	05/09/2000	Smith			
<i>MS</i>	6 2 9 9 0 8 2	10/09/2001	Smith			

RECEIVED
MAR 4 - 2002
TECHNOLOGY CENTER R3700

FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub- Class	Translation	
						Yes	No

OTHER DOCUMENTS (Incl. Author, Title, Date, Pertinent Pages, etc.)

Examiner *MS*

Date Considered

8/22/03

Examiner: Initial if references considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION (Use several sheets if necessary) <div style="display: flex; justify-content: space-around; margin-top: 10px;"> <div style="border: 1px solid black; border-radius: 50%; padding: 5px; text-align: center;">JUL 14 2003</div> <div style="border: 1px solid black; border-radius: 50%; padding: 5px; text-align: center;">JUL 14 2003</div> </div>				ATTY DOCKET NO. 5453.00009		SERIAL NO. 09/970,060	
				Applicant: Leward Nile Smith			
				FILING October 3, 2001		GROUP 3125	
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
W	1,860,519	05/31/1932	Wickersham				
	2,900,069	08/18/1959	Manns et al.				
	3,035,682	05/22/1962	Ferch				
	3,203,532	08/31/1965	Mimnaugh et al.				
	5,404,993	04/11/1995	Scarrow				
	5,435,689	07/25/1995	Stonehouse				
	6,517,020	02/11/2003	Smith				
FOREIGN PATENT DOCUMENTS							
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
EXAMINER <i>Mary Rauh</i>				DATE CONSIDERED <i>8/24/03</i>			

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.